

# CSE 392: Matrix and Tensor Algorithms for Data

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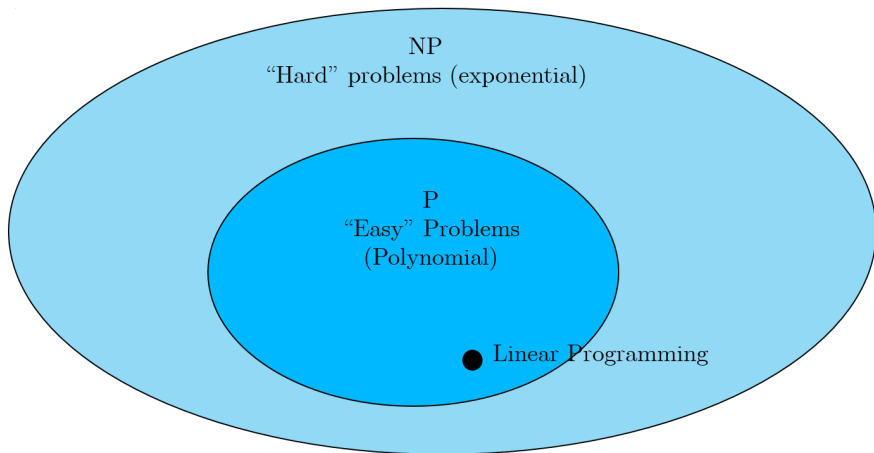
## Lecture 26: Introduction to quantum computing II

# Outline

- 1 Complexity Classes
- 2 Quantum Fourier Transform
- 3 Quantum Phase Estimation
- 4 Linear system solver

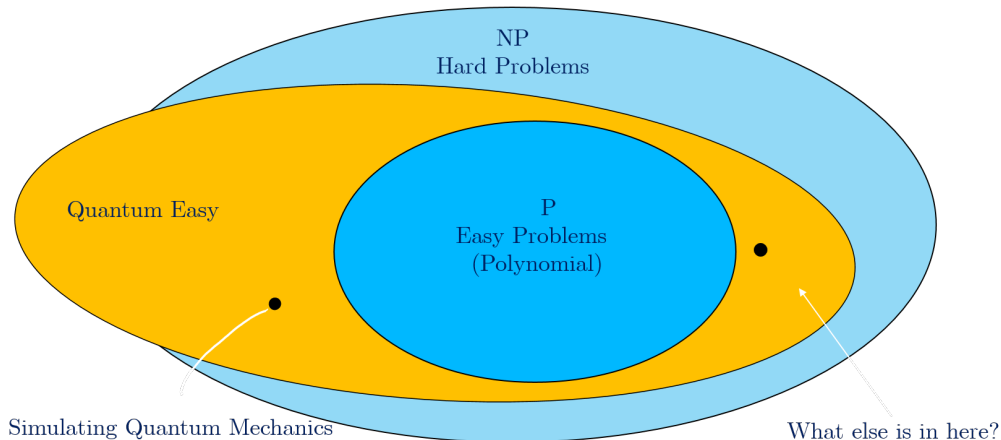
# Complexity Classes

- There are many intractable problems where the best known algorithm has runtime that scales exponentially with input size



# Complexity Classes

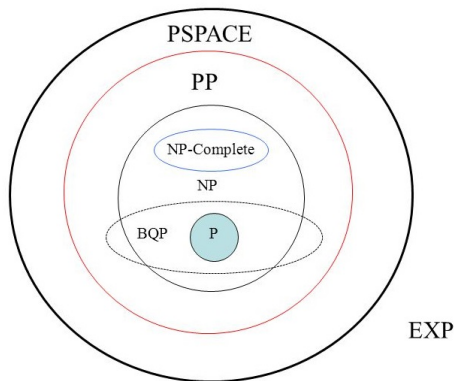
- Quantum Computers are the Only Novel Hardware which Changes the Game



# The Complexity Zoo

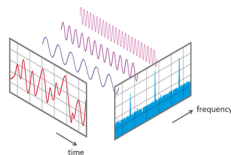
- **Should We Focus on NP-hard Problems ?**

- ▶ Counter to the layman belief, there is a consensus among quantum computing researchers that quantum computing is not likely to exponentially speed-up computation of NP-hard problems [C.H. Bennett, E. Bernstein, G. Brassard, U. Vazirani, Strengths and Weaknesses of Quantum Computing, 1996]



# Fourier Transform - Background

- **Fourier Transform:** **Decomposes** a function or a signal in **one domain** (e.g. time) into its constituent **frequency** representation
- **Instrumental** in signal processing, image analysis, (convolutional) neural networks, etc
- Gilbert Strang described the FFT as “**the most important numerical algorithm of our lifetime**”
- Inducted in **Top 10 Algorithms of 20<sup>th</sup> Century** by the **IEEE journal Computing in Science & Engineering**
- Classically, the Fast Fourier Transform (FFT) can perform the task in  $N \log(N)$  run-time [**Cooley and Tukey, 1965**]
- Quantumly, the Quantum Fast Fourier Transform (FFT) is due to [**Coppersmith, 1994**]



# Quantum Fourier Transform

- Similarly to the **classical**, the **quantum Fourier Transform**, (QFT) performs a discrete Fourier transform on the complex valued vector  $|\psi\rangle$ , yet it can achieve runtime of  $\mathcal{O}(n \log n)$
- **Given:** an  $n$ -qubit state as a superposition of basis states  $|0\rangle, |1\rangle, \dots, |2^n - 1\rangle$
- **Map** each basis state  $|j\rangle$

$$QFT(|j\rangle) = \frac{1}{\sqrt{2^n}} \sum_{k=0}^{2^n-1} e^{\frac{2\pi i j k}{2^n}} |k\rangle$$



# Quantum Fourier Transform

- **Notation: Fractional Binary Notation :**

$$[0.x_1 \dots x_m] = \sum_{k=1}^m x_k 2^{-k}$$

- For instance,  $[0.x_1] = \frac{x_1}{2}$  and  $[0.x_1x_2] = \frac{x_1}{2} + \frac{x_2}{2^2}$
- With this notation, the action of the quantum Fourier transform can be expressed in a compact manner:

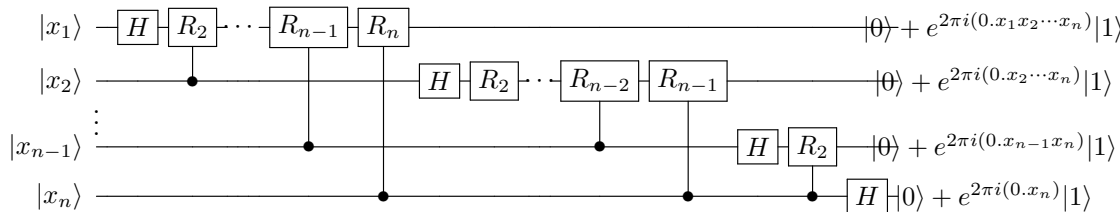
$$QFT(|x_1x_2\dots x_n\rangle) = \frac{1}{\sqrt{N}} \left( |0\rangle + e^{2\pi i [0.x_n]} |1\rangle \right) \otimes \left( |0\rangle + e^{2\pi i [0.x_{n-1}x_n]} |1\rangle \right) \otimes \dots \otimes \left( |0\rangle + e^{2\pi i [0.x_1x_2\dots x_n]} |1\rangle \right)$$

or

$$QFT(|x_1x_2\dots x_n\rangle) = \frac{1}{\sqrt{N}} \left( |0\rangle + \omega_1^{x_1} |1\rangle \right) \otimes \left( |0\rangle + \omega_2^{x_2} |1\rangle \right) \otimes \dots \otimes \left( |0\rangle + \omega_n^{x_n} |1\rangle \right)$$

# Quantum Fourier Transform

- The algorithm effectively takes the  $2^n$  amplitudes of an  $n$ -qubit state as a vector of size  $2^n$  and performs a **discrete Fourier Transform** so that the result is encoded in the amplitudes of the output state
- The simplest way to show that the normalized Fourier Transform is a unitary operation is to demonstrate the quantum circuit that performs the QFT



# Quantum Fourier Transform

- The input register contains an  $n$ -qubit basis state  $|x\rangle$  expressed as the tensor product of the individual qubits in its binary expansion:

$$|x\rangle \equiv |x_1 x_2 \cdots x_n\rangle \equiv |x_1\rangle \otimes |x_2\rangle \otimes \cdots \otimes |x_n\rangle$$

- The gates labeled  $R_m$  represent a series of **single-qubit phase rotations**
- For each integer  $m \geq 2$ , the gate  $R_m$  **shifts the phase** of the  $|1\rangle$  component of the input qubit by a factor of  $e^{\frac{2\pi i}{2^m}}$ , representing the unitary transformation

$$\begin{pmatrix} 1 & 0 \\ 0 & e^{\frac{2\pi i}{2^m}} \end{pmatrix}$$

# Quantum Fourier Transform

- However, in the QFT circuit, each  $R^m$  gate is **controlled** by another qubit (indicated by a large dot connected to the gate by a vertical line)
- Given a two-qubit state,  $|\psi_1\rangle|\psi_2\rangle$ , composed of the controlling qubit,  $|\psi_1\rangle$ , and the input qubit,  $|\psi_2\rangle$ , the **controlled- $R^m$  gate** represents the unitary transformation

$$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & e^{\frac{2\pi i}{2^m}} \end{pmatrix}$$

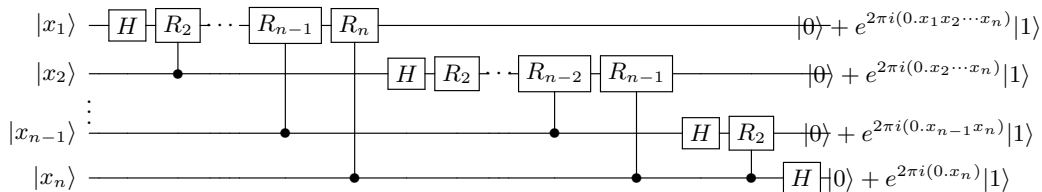
# Quantum Fourier Transform

- If the controlled- $R_m$  gate is being applied to a **basis state**,  $|x_\ell\rangle$ , where  $x_\ell$  is either 0 or 1, then depending on the value of  $x_\ell$ , the controlled- $R_m$  gate performs the **identity transformation**, or the  $R_m$  **transformation**
- However, we may combine the two and equivalently say that the controlled- $R_m$  gate performs the transformation

$$\begin{pmatrix} 1 & 0 \\ 0 & e^{\frac{2\pi i x_\ell}{2^m}} \end{pmatrix}$$

on the qubit  $|\psi_2\rangle$ , effectively performing a **data-dependent phase rotation**

# Quantum Fourier Transform - Circuit Analysis



- 1 After the first Hadamard gate on qubit 1, the state is transformed from the input state to

$$H \otimes I_{n-1} |x_1 x_2 \dots x_n\rangle = \frac{1}{\sqrt{2}} \left( |0\rangle + e^{\frac{2\pi i}{2} x_1} |1\rangle \right) \otimes |x_2 x_3 \dots x_n\rangle$$

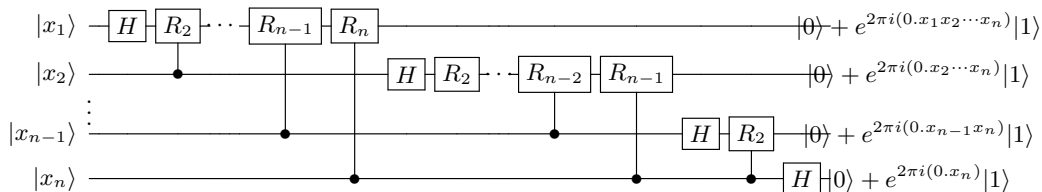
- 2 Following application of  $R_2$  on qubit 1 controlled by qubit 2, the state becomes

$$\frac{1}{\sqrt{2}} \left( |0\rangle + e^{\frac{2\pi i}{2^2} x_2 + \frac{2\pi i}{2} x_1} |1\rangle \right) \otimes |x_2 x_3 \dots x_n\rangle$$

- 3 After the application of the last  $R_n$  gate on qubit 1 controlled by qubit  $n$ , the state is

$$\frac{1}{\sqrt{2}} \left( |0\rangle + e^{\frac{2\pi i}{2^n} x_n + \frac{2\pi i}{2^{n-1}} x_{n-1} + \dots + \frac{2\pi i}{2^2} x_2 + \frac{2\pi i}{2} x_1} |1\rangle \right) \otimes |x_2 x_3 \dots x_n\rangle$$

# Quantum Fourier Transform - Circuit Analysis



$$\frac{1}{\sqrt{2}} \left( |0\rangle + e^{\frac{2\pi i}{2^n} x_n + \frac{2\pi i}{2^{n-1}} x_{n-1} + \dots + \frac{2\pi i}{2^2} x_2 + \frac{2\pi i}{2^1} x_1} |1\rangle \right) \otimes |x_2 x_3 \dots x_n\rangle$$

Since  $x = 2^{n-1}x_1 + 2^{n-2}x_2 + \dots + 2^1x_{n-1} + 2^0x_n$ , we can rewrite the state as

$$\frac{1}{\sqrt{2}} \left( |0\rangle + e^{\frac{2\pi i}{2^n} x} |1\rangle \right) \otimes |x_2 x_3 \dots x_n\rangle$$

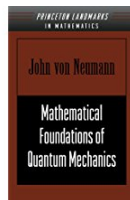
4 Application of a similar sequence of gates for qubits  $2 \dots n$ , the final state is:

$$\frac{1}{\sqrt{2}} \left( |0\rangle + e^{\frac{2\pi i}{2^n} x} |1\rangle \right) \otimes \frac{1}{\sqrt{2}} \left( |0\rangle + e^{\frac{2\pi i}{2^{n-1}} x} |1\rangle \right) \otimes \dots \otimes \frac{1}{\sqrt{2}} \left( |0\rangle + e^{\frac{2\pi i}{2^2} x} |1\rangle \right) \otimes \frac{1}{\sqrt{2}} \left( |0\rangle + e^{\frac{2\pi i}{2^1} x} |1\rangle \right)$$

which is the QFT of the input state in reversed order

# Quantum Phase Estimation

- The **Quantum Phase Estimation** algorithm is one of the most instrumental algorithms in Quantum Computing
- Proposed first in **von Neumann's** Mathematical Foundations of Quantum Mechanics book (aka von Neumann measurement)
- Quantum Computing formulation is due to **Kitaev**
- **Applications** range from factoring, through eigenvalue decomposition, linear system solver and more...





# Quantum Phase Estimation

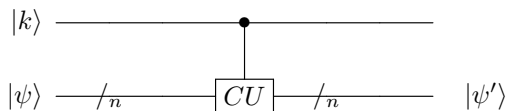
- **Recall : Unitary Eigenvalues** : Let  $U$  be a  $N \times N$  unitary transformation.  $U$  has an **orthonormal basis of eigenvectors**  $|\psi_1\rangle, |\psi_2\rangle, \dots, |\psi_N\rangle$  with **eigenvalues**  $\lambda_1, \lambda_2, \dots, \lambda_N$ , where  $\lambda_j = e^{2\pi i \theta_j}$  for some  $\theta_j$
- **Proof** :  $U$ , being **unitary**, maps **unit vectors to unit vectors** and hence all the eigenvalues have **unit magnitude**, i.e. they are of the form  $e^{2\pi i \theta}$  for some  $\theta$ 
  - ▶ Let  $|\psi_j\rangle$  and  $|\psi_k\rangle$  be two **distinct** eigenvectors with **distinct** eigenvalues  $\lambda_j$  and  $\lambda_k$
  - ▶ We have that

$$\lambda_j \langle \psi_j, \psi_k \rangle = \langle \lambda_j \psi_j, \psi_k \rangle = \langle U \psi_j, \psi_k \rangle = \langle \psi_j, U \psi_k \rangle = \langle \psi_j, \lambda_k \psi_k \rangle = \lambda_k \langle \psi_j, \psi_k \rangle$$

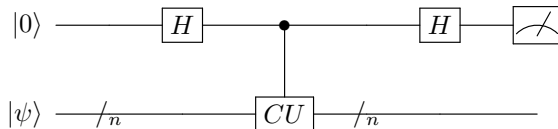
- ▶ Since  $\lambda_j \neq \lambda_k$ , the inner product  $\langle \psi_j, \psi_k \rangle$  is 0, i.e. the eigenvectors  $|\psi_j\rangle$  and  $|\psi_k\rangle$  are **orthonormal**

# Quantum Phase Estimation

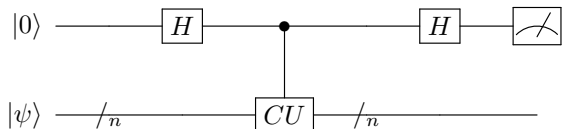
- **Goal: Phase Estimation** : Given a unitary transformation  $U$ , and one of its eigenstate  $|\psi_j\rangle$
- **Find**: the corresponding eigenvalue  $\lambda_j = e^{2\pi i\theta_j}$  (or, equivalently,  $\theta_j \in \mathbb{R}$ )
- **Reminder : Controlled U** : For any unitary transformation  $U$ , the **controlled U gate**,  $CU$ , transforms the target register  $|\psi\rangle$  to  $U|\psi\rangle$  **conditionally** upon the control input qubit



- **Estimation of the phase  $\theta$**  can be performed by the following simple prototype circuit



# Quantum Phase Estimation Prototype - Circuit Analysis



- The application of  $\mathbf{H}$  gate upon the control qubit, transfers the controller into a **uniform superposition** state

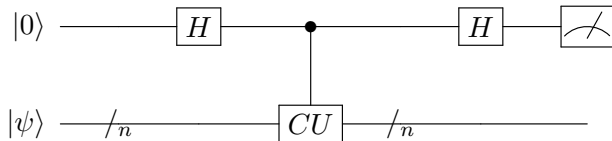
$$H \otimes I_n |0\rangle|\psi\rangle = \frac{1}{\sqrt{2}} (|0\rangle + |1\rangle) |\psi\rangle$$

- Consequent application of the controlled  $\mathbf{CU}$  entails

$$\begin{aligned} CU \frac{1}{\sqrt{2}} (|0\rangle + |1\rangle) |\psi\rangle &= \frac{1}{\sqrt{2}} |0\rangle |\psi\rangle + \frac{1}{\sqrt{2}} |1\rangle \lambda |\psi\rangle \\ &= \left( \frac{1}{\sqrt{2}} |0\rangle + \frac{\lambda}{\sqrt{2}} |1\rangle \right) \otimes |\psi\rangle \end{aligned}$$

- **Note** : After application of  $\mathbf{CU}$  gate, the **eigenstate**,  $|\psi\rangle$  remained **unchanged** while were able to push  $\lambda$  into the **phase** (phase kickback) of the controller qubit

# Quantum Phase Estimation Prototype - Circuit Analysis

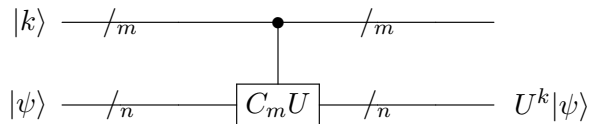


- Application of an additional **Hadamard** gate upon the controller qubit will **transform** the state into a **measurable amplitude** in the  $Z$  basis

$$H \left( \frac{1}{\sqrt{2}}|0\rangle + \frac{\lambda}{\sqrt{2}}|1\rangle \right) = \frac{1+\lambda}{2}|0\rangle + \frac{1-\lambda}{2}|1\rangle$$

# Quantum Phase Estimation - Circuit Analysis

- To perform a (more) **efficient implementation** of the phase estimation algorithm we need to extend the set of **ancillary qubits**
- **Definition: m-Controlled U** : For any unitary transformation  $U$ ,  $m$ -controlled  $U$  gate,  $C_m U$ , performs the transformation  $C_m U |k\rangle \otimes |\psi\rangle = |k\rangle \otimes U^k |\psi\rangle$

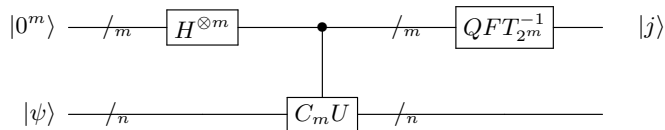


where  $k \in \{0, 1, \dots, 2^m - 1\}$

- Estimation of  $\theta$  within  $m$  bits of **precision** is equivalent to estimating the integer  $j$ , where  $\frac{j}{2^m}$  is the **closest approximation** to  $\theta$

# Quantum Phase Estimation - Circuit Analysis

- Let  $w_m = e^{\frac{2\pi i}{2^m}}$ , the circuit below **estimates** the **phase** efficiently



- The **Hadamard** (over  $m$  qubits this time) results in a **uniform superposition**

$$H^{\otimes m} \otimes I_n |0^m\rangle|\psi\rangle = \left( \frac{1}{\sqrt{2^m}} \sum_{k=0}^{2^m-1} |k\rangle \right) \otimes |\psi\rangle$$

- Next, application of the  $m$ -controlled  $U$  gate

$$C_m U \left( \frac{1}{\sqrt{2^m}} \sum_{k=0}^{2^m-1} |k\rangle \right) \otimes |\psi\rangle = \left( \frac{1}{\sqrt{2^m}} \sum_{k=0}^{2^m-1} \lambda^k |k\rangle \right) \otimes |\psi\rangle = \left( \frac{1}{\sqrt{2^m}} \sum_{k=0}^{2^m-1} w_m^{jk} |k\rangle \right) \otimes |\psi\rangle$$

# Quantum Phase Estimation - Circuit Analysis

- Following the **controlled operation** the ancillary register contains the **Fourier Transform** mod  $2^m$  of  $j$
- How do we retrieve  $j$  back ?

# Quantum Phase Estimation - Circuit Analysis

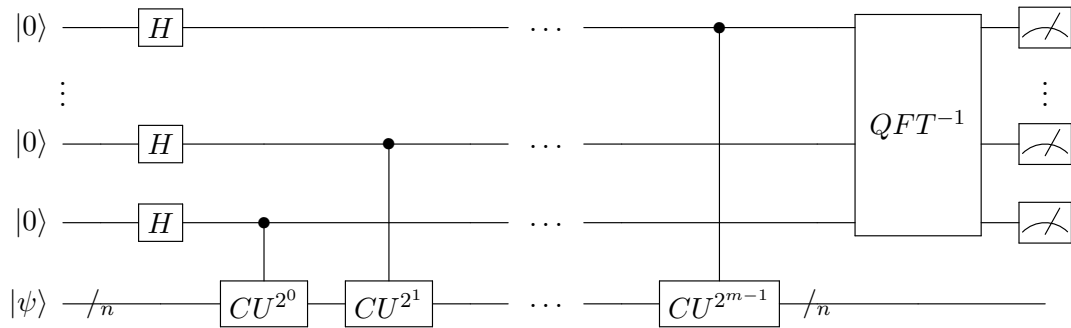
- Following the **controlled operation** the ancillary register contains the **Fourier Transform mod  $2^m$**  of  $j$
- **How do we retrieve  $j$  back ?** Apply the **inverse** of the **Fourier Transform mod  $2^m$**
- Recall that quantum circuits are **reversible**, thus, following the **inverse QFT** we get back  $j$

$$QFT_{2^m}^{-1} \otimes I_n \left( \frac{1}{\sqrt{2^m}} \sum_{k=0}^{2^m-1} w_m^{jk} |k\rangle \right) \otimes |\psi\rangle = |j\rangle \otimes |\psi\rangle$$

- If  $\theta = \frac{j}{2^m}$ , then the circuit outputs  $j$
- If  $\theta \approx \frac{j}{2^m}$ , then the circuit outputs  $j$  with high probability



# Quantum Phase Estimation - Circuit Description



# Linear System of Equations - Problem Definition

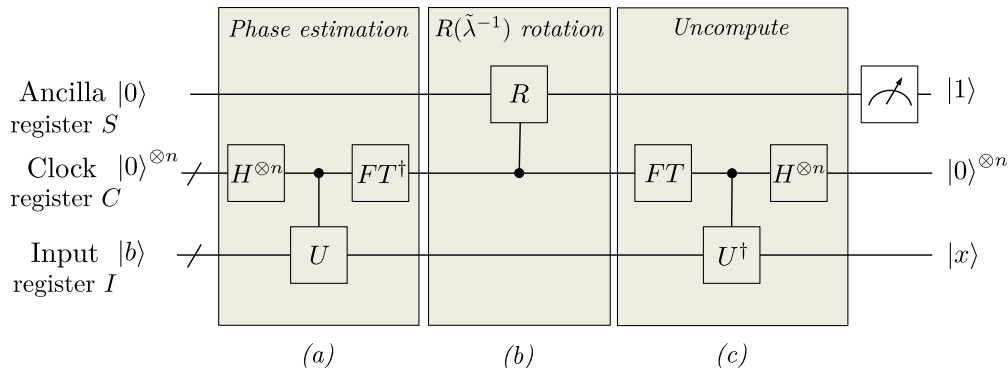
- **Given** a matrix  $A$  and a vector  $|b\rangle$
- **Find** a vector  $|x\rangle$  such that  $A|x\rangle = |b\rangle$
  
- Solution of linear systems of equations is instrumental across most disciplines of science and engineering
  
- The study of Harrow, Hassidim and Lloyd (2008) provided algorithmic framework for linear regression with an exponential speed-up
  
- Note: it is a quantum **algorithm** but not a realizable **quantum computation**. i.e. it does not map classical input to a classical result, but rather manipulates quantum data only



# Assumptions and Disclaimers

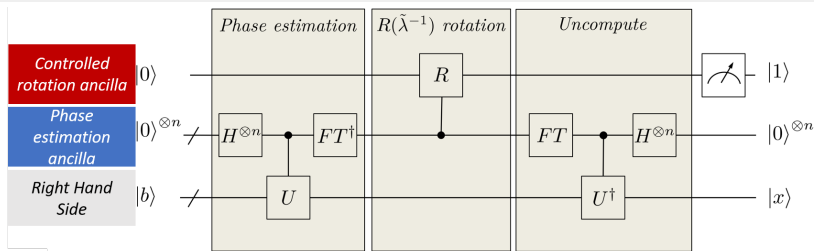
- The algorithm exemplifies the **gap** between the **desired computation** of providing the system  $A$ , and RHS  $b$ , and extracting  $x$ , **vs. the quantum computation** of  $|x\rangle$  given  $A$  and  $|b\rangle$ . This difference is not as subtle as it may appear at first glance
- **Data loading** into the quantum computer, is assumed to be performed in **logarithmic cost** with respect to the **problem size** (an unrealistic assumption for general data), or alternatively the data is (somehow) already stored in a so-called QRAM (quantum RAM)
- **Classical output** is limited to a **low dimensional function** of the **solution** (e.g. an expectation)
- Known approximation of the expectation value of some operator associated with  $x$ , e.g.,  $x^\dagger M x$  for some matrix  $M$
- $A$  is sparse, ( $s \ll N$  in entries / row), Hermitian  $N \times N$  with condition number  $\kappa$  (this assumption can be avoided)

# High Level Algorithmic Schematics



- **Solve**  $Ax = b$ , where  $|x\rangle$  and  $|b\rangle$  are quantum states, and  $A$  represents the Hamiltonian

# High Level Algorithmic - Steps



- 1 **State preparation** - prepare the state  $|b\rangle$  (amplitude encoding),  $n$  ancilla qubits at  $|0\rangle$ , additional ancillar qubit at  $|0\rangle$
- 2 **Quantum Phase Estimation** - perform phase estimation upon the state  $|b\rangle$ , using the  $n$  ancillar qubits - extract eigenvalues of  $A$  -  $QPE_A|b\rangle|0\rangle^{\otimes n} = \sum_j \beta_j |\psi_j\rangle |\tilde{\lambda}_j\rangle$
- 3 **Conditional rotation** - performs  $\sum \beta_j |\psi_j\rangle |\tilde{\lambda}_j\rangle |0\rangle \rightarrow \sum \beta_j |\psi_j\rangle |\tilde{\lambda}_j\rangle \left( \sqrt{1 - \frac{C^2}{\lambda_j^2}} |0\rangle + \frac{C}{\lambda_j} |1\rangle \right)$
- 4 **Uncompute QPE** - uncompute eigenvalue register with the **inverted phase**  
 $\sum \beta_j |\psi_j\rangle |0\rangle \left( \sqrt{1 - \frac{C^2}{\lambda_j^2}} |0\rangle + \frac{C}{\lambda_j} |1\rangle \right)$
- 5 **Rejection sampling** - identify cases in which the **conditional rotation** was successful

# Linear System of Equations - Solution Approach

- Assume  $A = A^\dagger$
- Otherwise, solve instead

$$\begin{pmatrix} 0 & A \\ A^\dagger & 0 \end{pmatrix} \begin{pmatrix} 0 \\ x \end{pmatrix} = \begin{pmatrix} b \\ 0 \end{pmatrix}$$

which implies that  $Ax = b$  for all  $A$  (not necessarily square, can be over-determined or under-determined)

- Per the sparsity assumption upon  $A$ ,  $s \ll N$ ,  $A = A^\dagger$  is local
- Then exponentiation of the operator  $A$  (aka Hamiltonian simulation)  $e^{-iAt}$  can be performed in time  $\mathcal{O}(\log(N))$  [Lloyd 1996]

# Linear System of Equations - Solution Approach

- If we know how to diagonalize  $A$ , i.e.

$$UAU^\dagger = \begin{pmatrix} \lambda_1 & & 0 \\ & \ddots & \\ 0 & & \lambda_N \end{pmatrix}$$

then inverse is just the inverse of the diagonal elements

$$U^\dagger A^{-1} U = \begin{pmatrix} \lambda_1^{-1} & & 0 \\ & \ddots & \\ 0 & & \lambda_N^{-1} \end{pmatrix}$$

- Based on Kitaev's QPE algorithm for finding eigenpairs, a momentum operator  $p$  is used to advance the system  $|b\rangle|0\rangle$  by a distance proportional to the eigenvalue of  $A$
- Let the state  $|b\rangle$  be represented by an **eigen decomposition** of  $A$ , i.e.  $|b\rangle = \sum_j \beta_j |\psi_j\rangle$

$$QPE_A |b\rangle|0\rangle = \sum_j \beta_j |\psi_j\rangle |\lambda_j\rangle$$

# Linear System of Equations - Solution Approach

- Next, we **pick the inverse of the eigenvalues**  $\lambda_j$  and turn them into a **phase**

$$\sum_j \beta_j e^{i\delta\lambda_j^{-1}} |\psi_j\rangle |\lambda_j\rangle$$

with a small  $\delta$

- Next, following the swap of  $\lambda$  and  $\lambda^{-1}$  **undo the phase estimation** operation

$$\beta_j e^{i\delta\lambda_j^{-1}} |\psi_j\rangle |0\rangle$$

- The above term is essentially

$$e^{i\delta A^{-1}} |b\rangle |0\rangle$$



# Linear System of Equations - Solution Approach

- If  $\delta$  is small enough

$$e^{i\delta A^{-1}}|b\rangle|0\rangle \approx (I + i\delta A^{-1})|b\rangle|0\rangle = (|b\rangle + \underbrace{i\delta A^{-1}|b\rangle}_{|x\rangle})|0\rangle$$

- Thus, within the expression we have the desired  $A^{-1}|b\rangle$  which can be extracted with probability of  $\delta^2$

# Linear Solver - Run-Time Complexity

- The classical algorithms can find  $x$  and estimate  $x^\dagger M x$  in  $\tilde{\mathcal{O}}(N\sqrt{\kappa})$  run time
- For  $A$  of condition number,  $\kappa$ , in  $k$  steps we get  $A^{-1}|b\rangle$  to accuracy of  $\mathcal{O}(\frac{\kappa^2 s^2}{\epsilon} \log N)$
- This is indeed a remarkable exponential acceleration
- Consequent work improved upon the condition number dependency [A. Childs, R. Kothari and R. Somma, 2015]
- Extension to non-sparse settings and further complexity reduction based on quantum singular value estimation are due to  $\mathcal{O}(\sqrt{N} \log N \kappa^2)$  [L. Wossing et al, 2018]

# Questions